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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/885,942	06/22/2001	Hayao Watanabe	Q43872	7069

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EXAMINER	
TAMAI, KARL I	

ART UNIT	PAPER NUMBER
2834	

DATE MAILED: 11/14/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/885,942

Applicant(s)

WATANABE ET AL.

Examiner

Tamai IE Karl

Art Unit

2834

-- The MAILING DATE of this communication appears on the cover sheet with the correspond nce address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 29 July 2003.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-24, 31-33 and 37-39 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☒ Claim(s) 1-24 is/are allowed.
- 6) ☒ Claim(s) 31-33 and 37-39 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 29 July 2003 is/are: a) ☐ accepted or b) ☒ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on _____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) _____
- 4) ☐ Interview Summary (PTO-413) Paper No(s) _____
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____

DETAILED ACTION

Reissue Applications

1. The original patent, or a statement as to loss or inaccessibility of the original patent, must be received before this reissue application can be allowed. See 37 CFR 1.178.

Drawings

2. The drawings are objected to under 37 CFR 1.83(a). The drawings must show every feature of the invention specified in the claims. Therefore, the optical encoder and the magnetic encoder must be shown or the features canceled from the claims. No new matter should be entered.

A proposed drawing correction or corrected drawings are required in reply to the Office action to avoid abandonment of the application. The objection to the drawings will not be held in abeyance.

3. The drawings were received on 7/29/2003. These drawings are not accepted because there is no support in the specification for sealed actuator using an encoder.
4. The amendment filed 7/29/03 is objected to under 35 U.S.C. 132 because it introduces new matter into the disclosure. 35 U.S.C. 132 states that no amendment shall introduce new matter into the disclosure of the invention. The added material which is not supported by the original disclosure is as follows: the sealed actuator having an encoder instead of a resolver.

Applicant is required to cancel the new matter in the reply to this Office Action.

Claim Rejections - 35 USC § 112

5. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

6. The rejection of Claims 31-33 and 37-39 under 35 U.S.C. 112, first paragraph, regarding enablement is withdrawn.

7. Claims 31-33 and 37-39 are rejected under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventors, at the time the application was filed, had possession of the claimed invention.

The specification does not enable or contain a full, clear, concise, and exact written description of an encoder as a displacement measuring means. The only disclosure in the specification for an encoder is a comparison with reluctance resolver with the magnetic and optical encoder as recited in col. 14, lines 41-63. The magnetic and optical encoders are not disclosed as part of the invention, and the specification teaches away from the use of the optical and magnetic encoders.

Claim Rejections - 35 USC § 102

8. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

9. Claims 31, 32, 37, and 38 are rejected under 35 U.S.C. 102(b) as anticipated by Applicant's Admitted Prior Art (AAPA) or, in the alternative, under 35 U.S.C. 103(a) as obvious over the Applicant's Admitted Prior Art (AAPA) in view of Hofmeister (WO 94/23911). AAPA teaches a plurality of actuators with stators hermetically sealed behind non-magnetic material, with roller bearings supporting the rotors. AAPA teaches a reinforcing means for the wall being integrally formed with the portion of the wall which expands radially outward to the coil endturns when the wall is axially outside the air gap between the rotor and stator. The inner surface of the partition wall being between the rotor and the radially expanded reinforcing wall. The reinforcing wall being the same material as the wall between the rotor and stator. The roller bearings being on opposite sides of the wall between the stator and rotor. AAPA shows but does not describe optical encoders below bearing 219. Hofmeister shows that the encoders shown in AAPA are optical encoders 13, 14. It would have been obvious to a person of ordinary skill in the art at the time of the invention to construct the motors of AAPA with the optical encoders of Hofmeister to control the drive motors.

Claim Rejections - 35 USC § 103

10. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

11. Claims 31, 32, 37, and 38 are rejected under 35 U.S.C. 103(a) as being unpatentable over Applicant's Admitted Prior Art (AAPA) and Cametti (US 2,887,062), and Hofmeister (WO 94/23911). AAPA teaches a plurality of actuators with stators hermetically sealed behind non-magnetic material, with roller bearings supporting the rotors. AAPA teaches a reinforcing means for the wall being the portion of the wall which expands radially outward to the coil endturns when the wall is axially outside the air gap between the rotor and stator. The reinforcing wall being the same material as the wall between the rotor and stator. The roller bearings being on opposite sides of the wall between the stator and rotor. AAPA shows but does not describe optical encoders below bearing 219 or teach the sealing wall being non-magnetic metal. Hofmeister shows that the encoders shown in AAPA are optical encoders 13, 14. Cametti teaches the sealing wall and supports being non-magnetic stainless steel. Cametti teaches the partition wall 12 between the supports 8,9 and the rotor 15. It would have been obvious to a person of ordinary skill in the art at the time of the invention to construct the motors of AAPA with the optical encoders of Hofmeister to control the drive motors, and the non-magnetic wall being stainless steel to provide good weld connections when making the hermetic motor.

12. Claim 33 and 39 are rejected under 35 U.S.C. 103(a) as being unpatentable over Applicant's Admitted Prior Art (AAPA) and Cametti and Hofmiester, in further view of Jacquin (FR 2,527,854). AAPA Cametti and Hofmiester teach every aspect of the invention except a magnetic encoder. Jacquin teaches the equivalence of magnetic and optical encoders for controlling motors across a sealed enclosure. It would have been obvious to a person of ordinary skill in the art at the time of the invention to construct the motor of AAPA, Cametti, and Hofmeister with the magnetic encoder to provide remote commutation of the stator coils which protects the encoder and because it is within the ordinary skill in the art to choose between known equivalents.

Allowable Subject Matter

13. Claims 1-24 are allowed.

Response to Arguments

14. Applicant's arguments filed 7/29/03 with respect to claims 33-35 and 37-39 have been considered but are not persuasive.

The Applicant's arguments regarding the written description requirement are not persuasive. The Applicant's argument that optical and magnetic encoders are suitable as other methods of position detection in the applicant's invention not persuasive. The specifications specifically teaches that they are not suitable (col. 14, lines 55-63) for use because of deficiencies of the semiconductor use in a vacuum. There is no teaching in

the specification of the optical and magnetic encoders for use in the Applicant's invention, therefore the inclusion of the limitations into the claims violates the 35 USC 112 written description requirement and is new matter. The original application supports this position because the Applicant never claimed optical and magnetic position detectors.

The Applicant's argument that the partition walls must be non-magnetic is not persuasive because the AAPA teaches the walls are non-magnetic (col. 3, line 1-2). The Applicant's argument that the bearings are mounted directly on the partition wall is not persuasive because the partition wall of the AAPA is part of the housing so the bearings do directly transmit force the housing.

The rejections are proper and maintained.

Conclusion

15. Applicant's amendment necessitated the new grounds of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any

extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

16. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Karl I.E. Tamai whose telephone number is (703) 305-7066.

The examiner can be normally contacted on Monday through Friday from 8:00 am to 4:00 pm. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Mr. Nestor Ramirez, can be reached at (703) 308-1371. The facsimile number for the Group is (703) 872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703) 308-0956.

Karl I Tamai
PRIMARY PATENT EXAMINER
November 7, 2003



KARL TAMAI
PRIMARY EXAMINER